

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 3207242                                  |
| <b>Application Number:</b>                  | 09392018                                 |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 9462                                     |
| <b>Title of Invention:</b>                  | OPENING PRICE PROCESS FOR TRADING SYSTEM |
| <b>First Named Inventor/Applicant Name:</b> | PETER B. MADOFF                          |
| <b>Customer Number:</b>                     | 26161                                    |
| <b>Filer:</b>                               | Cynthia L. Caramana/Debbie Nast          |
| <b>Filer Authorized By:</b>                 | Cynthia L. Caramana                      |
| <b>Attorney Docket Number:</b>              | 10575/004001                             |
| <b>Receipt Date:</b>                        | 25-APR-2008                              |
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| <b>Application Type:</b>                    | Utility under 35 USC 111(a)              |

### Payment information:

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| Submitted with Payment | no |
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### File Listing:

| Document Number | Document Description                         | File Name          | File Size(Bytes) /Message Digest                   | Multi Part /.zip | Pages (if appl.) |
|-----------------|--|--------------------|--|------------------|------------------|
| 1               | Information Disclosure Statement (IDS) Filed | 10575004001ids.pdf | 158750<br>f83d82ba76540f41c5a3b45b8a53fe0f860fde7e | no               | 3                |

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| 2   | NPL Documents | 1.pdf | 2425204                                  | no | 27 |
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| 3   | NPL Documents | 2.pdf | 157750                                   | no | 3  |
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| 5   | NPL Documents | 4.pdf | 2664027                                  | no | 40 |
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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